## SPATIAL STATISTICS OF GAZE FIXATIONS DURING DYNAMIC FACE PROCESSING

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**ABSTRACT**—Social interaction involves the active visual perception of facial expressions and communicative gestures. This study examines the distribution of gaze fixations while watching videos of expressive talking faces. The knowledge-driven factors that influence the selective visual processing of facial information were examined by using the same set of stimuli, and assigning subjects to either a speech recognition task or an emotion judgment task. For half of the subjects assigned to each of the tasks, the intelligibility of the speech was manipulated by the addition of moderate masking noise. Both tasks and the intelligibility of the speech signal influenced the spatial distribution of gaze. Gaze was concentrated more on the eyes when emotion was being judged as compared to when words were being identified. When noise was added to the acoustic signal, gaze in both tasks was more centralized on the face. This shows that subject's gaze is sensitive to the distribution of information on the face, but can also influenced by strategies aimed at maximizing the amount of visual information processed.

# SPATIAL STATISTICS OF GAZE FIXATIONS DURING DYNAMIC FACE PROCESSING

Social interaction can take many different forms, but our most natural communication occurs face to face. During such exchanges, the face conveys important social, emotional, identity and linguistic information. A broad interdisciplinary effort has revealed much about face processing, including insights into how we identify and recognize faces (Bruce & Young, 1986; Oram & Perrett, 1992; Schyns, Bonnar, & Gosselin, 2002), how we read facial expressions and emotions (Ekman, 1982), how people's gaze informs us about the direction of their attention and their intention (Emery, 2000; Baron-Cohen, Wheelwright, Hill, Raste, & Plumb, 2001), and how eye contact helps establish mutual attention (Kleinke, 1986). However, much of this research has used static faces to address these questions. This is a narrow choice of stimuli since the static face is a rarity in natural interactions. A live face that is still (Terzis & Noah, 2002) or showing reduced motion (Mergl, Mavrogiorgou, Hegerl & Juckel, 2005) is usually associated with neurological or psychiatric disorders.

The motion in dynamic faces provides independent information about identity and emotional expression that is not available in posed, static faces (e.g., Ambadar, Schooler, & Cohn, 2005; Hill & Johnston, 2001; Knappmeyer, Thornton & Bülthoff, 2003; O'Toole, Roark & Abdi, 2002). Dynamic faces, however, also constrain perceptual processing by providing an externally imposed time structure for the availability of information. In the rich stimulus conditions that exist in natural conversations, subtle signals for linguistic, emotional and pragmatic information rapidly appear and disappear. Humans must have perceptual strategies that permit them to efficiently sample these visual social signals from the face.

One valuable approach to investigate perceptual strategies is to determine what facial features are preferentially selected for more detailed processing by gaze fixations. The study of fixation patterns has made contributions to our understanding of scene and object

perception (Findlay & Gilchrist, 2003; Henderson, Weeks & Hollingworth, 1999) and social perception (Yarbus, 1967; Walker-Smith, Gale and Findlay, 1977)). In this latter area, the measurement of gaze fixations has been extended to include clinical populations. Individuals with deficits in social or communicative perception such as persons diagnosed with schizophrenia (Williams, Loughland, Green, Harris & Gordon, 2003), social phobia (Horley, Williams, Gonsalvez & Gordon, 2004), impaired fear recognition (Adolphs et al., 2005) and autism (Klin et al., 2002) have been shown to differ in gaze patterns from normal comparison groups. For example, individuals with social phobias show fewer gaze fixations on the eyes of static emotional faces (Horley et al., 2004).

Although many of these studies have examined gaze behaviour while viewing static faces without time constraints (e.g., Yarbus 1967; Adolphs et al., 2005), the active visual exploration of dynamic faces has primarily been investigated during audiovisual and visual-only speech perception (e.g., Paré, Richler, ten Hove & Munhall, 2003; Lansing & McConkie, 1999, 2003; Vatikiotis-Bateson, Eigsti, Yano & Munhall, 1998). Here we extend this approach to address two important questions about the active visual processing of dynamic faces. Lansing & McConkie (1999) examined eye gaze behavior in silent speechreading and showed task dependant effects on gaze behaviour when subjects were asked to either judge intonation or to speechread repeated two-word utterances. However, it remains unknown to what extent the spatial distribution of gaze behaviour in more naturalistic audiovisual speech depends on the social tasks in which subjects are engaged. In the present experiment we addressed this question by testing social perception using two tasks, a speech perception task and emotional perception task using the same emotionally expressive, talking faces. These two tasks were chosen as both facial expressions (Ekman, 1994) and speech are voluntarily used for, and naturally co-occur in, social communication.

Additionally, this study addresses the issue of whether varying the relative importance of visual and auditory information modifies the spatial distribution of gaze behaviour. It has long been known that seeing a talker's face enhances speech intelligibility in noisy acoustic environments (Sumby & Pollack, 1954). The perceptual significance of visual speech information is thus increased when auditory speech information is degraded by the presence of noise (O'Neill, 1954; Erber, 1969). Research by Vatikiotis-Bateson et al. (1998) has shown that the number of transitions between areas of the face is decreased in the presence of noise during a speech task, suggesting that the importance of the visual speech information may affect how the information is gathered. Our study will extend this finding by more closely looking at the spatial distribution of gaze when the auditory speech signal is degraded by varying the acoustic noise level in the speech and emotion tasks.

The visual stimulus in our study was kept constant across the two manipulations (task and auditory noise level). Image properties that influence fixation locations (e.g., high spatial frequency and edges; Parkhurst & Niebur, 2003) could therefore not account for any observed differences in gaze behaviour. Thus, the study will examine the knowledge-driven factors that influence selective visual processing of facial information in social contexts (Henderson, 2003). By doing so, the present work lays an important foundation for studies of social and clinical neuroscience (e.g., Adolphs et al., 2005; Klin, Jones, Schultz, Volkmar & Cohen, 2002).

## **METHOD**

#### **Subjects**

One Hundred individuals (76 females) with a mean age of 21.3 (17-30) years of age participated in this experiment. Forty of these subjects (25 females) participated in control

studies, and sixty subjects participated in the actual experiment. The data from one of the control subjects was lost due to equipment problems. All subjects were native speakers of English and reported having normal or corrected to normal vision, as well as no speech or hearing difficulties.

#### Stimuli

The stimuli were filmed in colour using digital audio and video recording equipment. An experienced local theatre actor was filmed saying 27 Central Institute for the Deaf (CID) Everyday Sentences (Davis & Silverman, 1970), selected from lists B, C, E and F. The actor was instructed to say each sentence three times, once for each of the following emotions: happy, neutral and angry. The actor was instructed to portray each emotion as realistically as possible, and not to exaggerate the emotion. Each sentence was shown only once to each subject and the emotion version of each sentence was counterbalanced across subjects within each task. The video was edited into clips in Final Cut Pro, and then burned to DVD to allow for random presentation of the stimuli.

The same stimuli were used for both the emotion and the speech tasks. The intelligibility of the speech was manipulated by the presence (noise condition) or absence (no-noise condition) of a commercial, multi-talker noise signal (Auditec, St. Louis, MO). To verify that the addition of a multi-talker noise signal resulted in a decrease in the intelligibility of the speech, an auditory-only control condition of the stimuli in the noise and no-noise conditions was run. Participants in all of the auditory-only control conditions, with the exception that the monitor was occluded to prevent the subjects from using the visual information, and the eye tracker was not used to record gaze position. In the speech task, mean performance in the auditory-only noise condition was 68.4% correct (SE 1.9) as compared with the mean performance in

the auditory-only no-noise condition at 99.9% correct (SE 0.1). The addition of the visual information improved the intelligibility of the speech when noise was added, as mean performance was better on the speech task in the audiovisual noise condition in the experiment (85.5% correct, SE 1.4) as compared with mean performance in the auditory-only noise control experiment (68.4% correct, SE 1.9).

Emotion is certainly conveyed in both the visual (Ekman, 1982), and auditory modalities (de Gelder &Vroomen, 2000). To verify that the decrease in the intelligibility of the speech in the presence of noise was also accompanied by a decrease in the accuracy of identifying the emotion of the speech, auditory-only conditions for the emotion task were also run as a control. In the emotion task, mean performance in the auditory-only noise condition was 43.0% correct (SE 4.2) as compared with the mean performance in the auditory-only no-noise condition at 67.5% correct (SE 2.2). The auditory-only performance is significantly poorer than the audiovisual results in the experiment (81.0% correct (SE 2.8) and 82.8% correct (SE 3.3) for noise and no-noise respectively.)

## Apparatus

The experiment took place in a double-walled sound isolation booth (model 1204, Industrial Acoustic Corporation, Bronx, NY). Subjects were seated with their head stabilized with a chinrest and positioned so that their eyes were approximately 1 m away from the centre of a 20-in television monitor (Sony PVM 20L5, 720X480 resolution). The audio was played from speakers (Paradigm Reference Studio/20) on each side of the monitor. Eye position was monitored using dark pupil tracking with a sampling rate of 500 Hz with an EyeLink II eye-tracking system (SR Research, Osgoode, Canada). A nine-point calibration and validation procedure was used. The maximum error allowed on a single point was 1.5 degrees, though the error on the central point was always less than 1 degree. The maximum average error was less than 1 degree. A drift correction was performed before each trial.

## Procedure

The experiment was carried out as a between-subjects design and 15 subjects were assigned to each of the four conditions (2 Task X 2 Noise Levels). A between-subjects design was used to avoid the subjects' knowledge of the task requirement in the other conditions biasing their gaze behaviour. Subjects were instructed to watch the talker on the monitor, and either report all of the words that they heard him say (speech task), or to judge which emotion he was trying to portray, and rate the portrayal over the entire sentence on a scale of 1-9 (emotion task). The rating scale was used in order to encourage subjects to pay attention to the entire trial. All responses by the subjects were made verbally, and then recorded by the experimenter.

Three CID sentences that were not stimuli in the actual experiment were presented to subjects as practice trials in the noise condition. In the speech practice trials, subjects were given feedback as to what the talker had said. In the emotion practice trials, they were shown the same three sentences, but were given no feedback.

#### **Data Analysis**

*Response Scoring* – In the speech task, loose key word scoring, using the standard CID key words (Davis & Silverman, 1970), was carried out on subject's responses. In the emotion task, a response was scored as correct if the subject chose the same emotion as the one the actor was asked to portray.

*Coding of Features* – Instantaneous positions of the eyes, nose and mouth were coded frame-by-frame for each sentence of the stimuli. One reference point was coded for each eye, approximately in the centre of the pupil. For the nose, a point was coded for the visible part

of each nostril, and a virtual point approximately 0.3 degrees of visual angle above the halfway point was chosen to represent the nose feature. For the mouth, four points were coded, one point in each of the corners of the mouth, one on midline of the upper lip on the vermillion border, and one on the midline of the lower lip on the vermillion border. See Figure 1.

#### (Figure 1 about here)

*Regions of Interest* – Ellipses centred on the eyes, nose, and mouth reference points were used to delimit regions of interest (ROI). For each eye, a circle with a radius corresponding to approximately 1.1 degrees of visual angle was used to demarcate the ROI boundary. A fixation falling in either eye region was considered to be in the "eye" ROI. The ellipses centred on the nose reference point had a vertical semi-minor axis of 0.8 degrees of visual angle, and a horizontal semi-major axis of 1.1 degrees of visual angle. Because the mouth can change shape quite considerably during speech, the mouth ROI was variable in size. A centre point, determined by the position of the four points that had been coded on the mouth, was used to centre an ellipse that was 0.5 degrees of visual angle larger from the centre point than each of the four coded points. See Figure 1.

*Fixation distance* – The average distance of a fixation away from the centre of a feature (measured in degrees of visual angle) was calculated, and then weighted by the duration of the fixation. Feature centres were based on the reference points described above. Whichever eye a fixation was closest to was used to determine the distance from the eyes.

*Dependent Measures* – We quantified gaze behaviour with four different measures: 1) the percentage of trial time subjects spent with their gaze within each ROI; 2) the mean number

of gaze fixations within each ROI; 3) the median duration of gaze fixations within each ROI; and 4) the mean distance of gaze fixations with respect to the centre of each ROI. The effects of task (emotion and speech) and noise level on these measures were analyzed with two-way ANOVAs. Each ROI was analyzed independently. The average distance from each feature was also analyzed independently on a feature-by-feature basis.

## RESULTS

Performance on the audiovisual speech and the emotion tasks were very accurate (see Table 1). Subjects in the speech task with noise performed significantly worse than those in the speech task without noise, thus the addition of acoustic noise was successful in decreasing the intelligibility of the audiovisual speech. There was no difference in accuracy on the emotion task in the noise condition versus the no-noise condition. There was also no difference in accuracy for judging the different emotions in the emotion task.

#### (Table 1 about here)

Subjects tended to direct their gaze on or close to the salient features of the face: the eyes, the nose and the mouth. Accordingly, 85% of the duration of the trial was spent fixated in the chosen regions of interest (ROIs). The main effects of task and noise level on either gaze fixation percentage in ROIs or distance from ROIs were significant (two-way ANOVA, p<.01), but there were no significant interactions between these two factors (p>.05). Because of this, the effects of these two factors on our measures of gaze fixation distribution will be treated separately.

Different emotions are conveyed by distinct configurations of the face with different regions of the face containing the diagnostic information for different emotional categories (Smith, Cottrell, Gosselin, & Schyns, 2005). Here we examined whether viewing the

dynamic expression of different emotions produced different gaze patterns. Table 2 shows the mean percentage of trials fixated on a ROI for each of the 3 emotions. The gaze patterns are, overall, very similar for the different emotion stimuli when the tasks are considered individually or combined. Three-way ANOVAs (Stimulus Emotion X Task X Noise) were carried out for each of the eye, nose and mouth ROIs. Only two of the comparisons showed small statistical effects. A main effect for Stimulus Emotion for the percentage of trials fixated on the mouth, F (2,112) = 3.67, MSE = 49.25, p =0.029, and a marginally significant Stimulus Emotion X Task interaction for the percentage of trials fixated on the eyes, F (2,112) = 3.1, MSE = 65.36, p = .048, were observed.

Because there was no difference in accuracy between the three emotions, and because gaze patterns were similar across the three emotions, the gaze data for the three emotions was combined for analysis.

(Table 2 about here)

#### **Gaze Fixation Distributions as a Function of Task**

#### (Figure 2 about here)

The distribution of gaze fixations varied significantly with the task (speech recognition or emotion judgment) performed by the subjects, suggesting that the same facial features had different values in each task. In general, subjects directed their gaze more towards the eyes in the emotion task than in the speech task (Figure 2). Figure 3A shows that subjects spent a greater percentage of the trials with their gaze within the eye ROI in the emotion task than in the speech task, on average, 41.8% versus 26.5%, F (1,56) = 11.31, MSE = 310.46, p = .001. Figures 3C and E, show that this behavioural bias was due to a greater number of gaze fixations within the eye ROI, F (1,56) = 14.2, MSE = 881.53, p < .001, rather than an increased in the duration of these gaze fixations. There was no correlation between the

average percentage of the trial subjects spent fixated on the eyes and their accuracy in the emotion task. There was no significant difference in the measures made with respect to the nose or mouth ROIs. Although figure 3A shows a relatively large difference in the percentage of the trial spent fixated on the mouth, this difference did not reach significance, F(1,56) = 3.70, MSE = 520.79, p = .060.

#### (Figure 3 about here)

## (Figure 4 about here)

Figure 4A shows how the distance of gaze fixation positions relative to the salient facial features also varied significantly between the tasks. Gaze fixations were significantly closer to the eyes in the emotion task than in the speech task, on average, 1.3 versus 1.6 degrees of visual angle away, F(1,56) = 6.99, MSE = 131.67, p = .011. Conversely, fixations were significantly closer to the mouth in the speech task than in the emotion task, 2.8 versus 3.1 degrees of visual angle away, F(1,56) = 5.38, MSE = 366.94, p = .024. This result contrasts with the result that the difference in time spent in the mouth ROI between tasks failed to reach statistical significance. This discrepancy, however, is likely due to the categorical nature of the ROI analyses versus the continuous nature of the average distance analyses. Subjects thus seemed to be more attracted to the mouth in the speech task without necessarily fixating on the mouth. Nevertheless, there was no correlation between subjects' accuracy on the speech task and the average percentage of the trials spent fixating on the mouth. The average distance from the nose did not differ significantly between tasks.

#### Gaze Fixation Distributions as a Function of Noise Level

The distribution of gaze fixations also varied significantly with the decreased intelligibility of speech caused by the addition of acoustic noise regardless of the task,

suggesting that the listening conditions induced subjects to adopt a different strategy to extract information from the same facial stimulus.

In general, gaze fixations clustered near the centre of the face in the presence of acoustic noise (Figure 2). Figure 3B shows that subjects spent a significantly greater percentage of the trials with their gaze within the nose ROI in the noise condition than in the no-noise condition, on average, 36.5% versus 16.7%, F (1,56) = 15.38, MSE = 379.67, p < .001. Figure 3D and F, shows that this behavioural bias was due to an increase in the duration of the gaze fixations within the nose ROI, F (1, 56) = 7.0, MSE = 153476.19, p = .010, rather than a greater number of these gaze fixations. This effect appears to parallel the significant decrease in the time that the subjects spent with their gaze within the eye ROI, 43.0% versus 25.2%, F (1,56) = 15.37, MSE = 310.46, p < .001, because of fewer fixations, F (1,56) = 15.35, MSE = 881.53, p < .001. There was no significant difference in the time spent within the mouth ROI.

The gaze fixation positions were closer relative to the central features of the face in the presence of acoustic noise, as is shown in Figure 4B. Gaze fixations were significantly closer to the nose in the noise condition than in the no-noise condition, on average, 1.3 versus 1.8 degrees of visual angle away, F(1,56) = 19.36, MSE = 190.20, p < .001. These gaze fixation positions were also closer to the mouth in the noise condition than in the no-noise condition, 2.8 versus 3.2 degrees of visual angle away, F(1,56) = 6.22, MSE = 366.94, p = .016. The median duration of the gaze fixations within the mouth ROI (Figure 3F) was significantly longer in the presence of noise, F(1, 56) = 5.99, MSE = 165677.71, p = .018. Since the time spent in the mouth ROI did not differ between noise conditions (Figure 3B), the longer duration of these fixations in the presence of noise is probably due to fewer fixations, even though that number reduction failed to reach statistical significance (Figure 3D). The

into a significant increase in fixation distance away from the eyes, which remained less than half of the distance to the mouth.

#### DISCUSSION

The spatial statistics describing how subjects viewed dynamic faces varied according to both the task they performed and the accompanying auditory noise level. When judging emotions rather than recognizing speech, subjects preferentially shifted their gaze to the eyes; they looked at the eyes with more numerous, not longer, gaze fixations. When the intelligibility of the speech was decreased by the addition of acoustic noise, subjects adopted a vantage point centred on the face by reducing the frequency of gaze fixations on the eyes and lengthening the duration of their gaze fixations on the nose and the mouth.

Subjects seem to be preferentially attending to certain facial locations over others. One explanation for this task effect is that subjects directed their gaze towards features of the face known to contain information important to these tasks. On the one hand, the eyes carry important social information (Baron-Cohen et al., 2001), the eyes attract fixations when subjects are performing emotion judgments in static photographs (Adolphs et al., 2005) and movements of the eyebrows are known to correlate with linguistic prosody (e.g., Krahmer, Ruttkay, Swerts, & Wesselink, 2002). On the other hand, the lower part of the face is the major source of visual information about speech with lip movements providing the strongest correlation with the acoustics (Yehia, Rubin, Vatikiotis-Bateson, 1998). In a visual-only speech perception task, Lansing and McConkie (1999) found that subjects directed their gaze more toward the upper part of a speaker's face when judging intonation than when identifying words.

There are, however, difficulties with using sensitivity to task-relevant information as the sole explanation for our findings. The diagnostic information for the expression of different emotions may be concentrated in different regions of the face. Smith et al. (2005) have shed light on this problem by using a set of static, posed faces to examine where on the face diagnostic information for each emotion is contained. They showed that the eyes convey more information about anger and the mouth yields more information about happiness, although it is unclear if these results can be extended directly to more naturalistic stimuli. While our subjects did vary gaze by task, they did not systematically vary their patterns of gaze for stimuli produced with different emotions. Perhaps our design of randomizing the presentation order of sentences spoken with different emotions precluded a strategy focused on different regions for the three emotions. However, some uncertainty about the emotionality of a sentence spoken in natural conversation would also normally preclude such focal information gathering. A further limitation of interpreting the differential responses in our study as being driven solely by the location of diagnostic information is that the effects of task orientation are modest. Gaze was directed to the eyes less than 50% of the trials during the emotion task and to the mouth in less than 50% of the trials during the speech task.

One factor that could mitigate the influence of the location of diagnostic information is that it may be unnecessary to foveate any particular facial feature to perceive emotion or speech. Although certain areas of the face may be more diagnostic for a particular task, speech information (Benoît, Guiard-Marigny, Le Groff & Ajoudani, 1996; Vatikiotis-Bateson, Munhall, Hirayama, Kasahara & Yehia, 1996) and dynamic emotional information (Bassali, 1978; Bassali, 1979) are fairly broadly distributed across the face. Research using the McGurk effect indicates that direct fixation is not necessary to perceive visual speech information (Paré et al., 2003). The McGurk effect is still quite strongly perceived even 20 degrees of visual eccentricity away from the mouth, which would be roughly equivalent to

staring just off screen while watching a talker on a monitor. Other studies have shown directly by degrading the images that highly detailed visual features may not be necessary for visual information to be useful for both audiovisual speech perception (Munhall, Kroos, Jozan, Vatikiotis-Bateson, 2004; MacDonald, Andersen, & Bachmann, 2000) and emotion perception (Vuilleumier, Armony, Driver & Dolan, 2001). In our study, the accuracy of the emotion judgment made by the subjects did not correlate with the time they spent fixating on the eyes nor did the accuracy in the speech task correlate with the time spent fixating on the mouth. This suggests that the analysis of highly detailed information afforded by fixating on the eyes or mouth was not necessary for the successful processing of emotional and speech information.

The efficacy of peripheral vision may also help explain the centralized gaze fixations seen in the noise condition in our experiment. The nose is neither an expressive feature, nor does it provide much speech information by itself. Thus, this centralized gaze behaviour cannot be explained as a function of the need to gather highly detailed information. Information beyond the fovea, therefore, must play a major role in dynamic face perception in this condition. It has long been known that visual information may be gathered covertly (Posner, 1980) using peripheral vision, even though subjects do show a natural propensity to move their eyes to foveate what is of interest (Findlay & Gilchrist, 2003).

In our data, the clustering of gaze fixations on the nose and mouth when noise was present was also associated with a decrease in the number of saccades and an increase in fixation duration. One explanation for both the centralization of gaze and the lengthening of fixation durations when auditory conditions were degraded is that subjects are attempting to maximize the amount of visual information processed. Since it seems unlikely that the nose was the main feature of interest, the centralized gaze fixations more likely reflect a strategy whereby subjects choose the centre of the face as a vantage point to keep both the eyes and

the mouth as close as possible to central vision. By keeping the eyes relatively stationary, subjects may also be attempting to glean more information from the moving face. Saccadic suppression does not impose much cost when viewing static stimuli since no new information is introduced during a saccade. However, with dynamic stimuli, some visual information may be lost each time a saccade is made.

It is interesting that an auditory manipulation changed the way in which visual information is gathered and that a rather modest decrease in intelligibility brought about such a dramatic shift in gaze fixation patterns across both tasks. The more centralized gaze fixations seen across both tasks during noisy listening raises the question of the extent to which the processing of visual speech and emotion information happens concurrently. Some experimental evidence suggests that face recognition tasks (Kittler & Turkewitz, 1999) and visuo-spatial attention tasks (Thompson, Malmberg, Goodell, & Boring, 2004) can be performed in parallel with audiovisual speech perception tasks.

While visual information can be processed concurrently, the failure to adopt this central fixation strategy under better listening conditions suggests the possibility that there is a tradeoff or cost to doing so that is not revealed by our design. Although diagnostic information for speech and emotion is broadly distributed across the face, the quality of this information likely varies both spatially and temporally. Faced with this dynamic communicative environment, individuals may develop a flexible strategy whereby their scanning routines attempt to gather the best information to be useful for a task while at the same time balancing costs.

Such scanning routines may be mandated by the dynamic nature of social information on the face and by the richness of the facial social stimuli. In natural conversation individuals may be gathering many other kinds of social information from the face in addition to extracting speech and emotion information. For example, monitoring the gaze of a talker in

natural face-to-face conversation may provide important and rather subtle visual cues to signal both the attention and intentions of a talker (Emery, 2000; Baron-Cohen et al., 2001). This general tendency to monitor the gaze of a talker extends to watching videos of talkers as well, although this tendency may be mediated by cultural social norms (Vatikiotis-Bateson et al., 1998). The high number of fixations on the eyes during the emotion and even the speech task in our experiment and the audiovisual speech task of Vatikiotis-Bateson et al. (1998) may reflect this general tendency to monitor gaze. However, this predominance of fixations on the eyes is not seen in all audiovisual speech experiments (Paré et al., 2003, Lansing & McConkie, 2003). One possibility could be that stimuli differ in the extent that they are socially engaging. Vatikiotis-Bateson et al. (1998) used extended monologues in which talkers generated their own speech. Our study used a subset of the sentences used by Lansing & McConkie (2003), yet ours included an emotional component, possibly making them more engaging. Paré et al. (2003) used nonsense consonant vowel consonant syllables.

The current study shows that task difficulty, in addition to the assigned task, has a mediating effect on gaze fixations during face processing. The results of our study show that even a modest increase in the difficulty of the task affects the spatial distribution of gaze. This is an important finding and should be of note for clinical studies wishing to compare gaze fixation distributions of normal and clinical populations. Performance in patient populations is often poorer than controls, and many experimental tasks may be more taxing for patients. In this context, gaze patterns could differ for patient groups as a result of task difficulty rather than disorder-specific impairments to fixation control.

The role that this gaze centralizing strategy plays in some recent clinical studies is unknown but warrants further investigation. Adolphs et al. (2005) demonstrated a connection between gaze and the selectivity of facial processing. Patient SM, who has bilateral amygdala damage, has repeatedly been shown to be impaired in recognizing fear in direct gaze, static

facial images. Eye tracking data revealed that SM did not spontaneously look at the eyes when viewing faces but rather showed consistent central fixations. When instructed to look at the eyes during an emotion judgement task, SM's impairment for fear judgements disappeared. In the context of the present data, the nose fixations by SM may reflect a direct response to task difficulty for this patient. What is surprising in the Adolphs et al. (2005) data is not the gaze patterns but the inability of the patient to use information from peripheral vision only when judging fear in images. SM's performance on the judgements of other emotions is unimpaired in spite of centralized gaze patterns and this is consistent with the current data. In contrast, SM showed marked decrements in accuracy for a single emotion, fear. It is possible that the diagnostic information in the fear stimuli may be contained in high spatial frequency cues confined to the eye region. Without foveation of this area of the stimulus, the information would be unavailable to SM.

Other studies of clinical populations have also demonstrated a connection between gaze and the social processing of faces. Klin et al. (2002) studied gaze fixations of highfunctioning adolescents and young adults with autism spectrum disorder, and age and verbal-IQ matched controls while watching video clips taken from an emotionally charged movie. Individuals with autism spectrum disorder showed different patterns of gaze compared with their controls. The clinical subjects spent significantly less time looking at the eyes and significantly more time looking at the mouths of faces on the screen. It had been suggested by Klin et al. (2005) that the increased fixations away from the eyes by subjects with autism spectrum disorder were made in an attempt to better understand speech. However, the data may also be influenced by a general tendency to centralize fixations when the task becomes more difficult.

Information important for social interactions is likely conveyed bimodally by both visual and auditory cues. Identity is conveyed by the form and motion of the face (Knappmeyer et

al., 2003), as well as by the voice (Pisoni, 1997). Subtle timing information is needed to coordinate turn taking in conversation (Cowey, 1998) and this timing information is likely provided in both the voice and the face. In normal conversation, there is also additional information beyond simply the emotional and the linguistic information that is needed to arrive at the actual meaning of an utterance (Hawkins, 2003), and this information is likely also conveyed by both the face and voice.

A possible neural substrate for the audiovisual convergence of socially relevant information is the superior temporal sulcus (STS). Imaging studies have shown the STS responding to socially relevant actions such as direction of gaze and mouth movement (Puce, Allison, Bentin, Gore & McCarthy, 1998), and voice prosody (Grandjean et al., 2005). Imaging studies have also shown STS activation during audiovisual speech perception (Callan et al., 2003; Sekiyama, Kanno, Miura & Sugita, 2003; Wright, Pelphrey, Allison, McKeown & McCarthy, 2003; Calvert, Campbell & Brammer, 2000). Whether the integration of visual and auditory emotional information shares the same neural substrates as audiovisual speech perception is at present unknown.

Vision actively contributes to social perception and the data reported here suggest that gaze is tuned to the specific goals and conditions of social interaction. The spatial distribution of gaze while viewing a talking face is driven both by visual information being sought out for a particular task, and also by the intelligibility of the speech. However, the data also underline the fact that face-to-face conversation provides us with rich, dynamic stimuli from which a plethora of information can be extracted. This information is unlikely to be simply spatially and temporally localized and the observer must be able to extract different streams of communicative information from different motions of the same face. Unraveling the neural substrates responsible for this complex perceptual activity will require controlled behavioral studies. To carry out these studies the field must focus on the difficult problem of metrics for

dynamic stimuli. The spatial distribution of gaze patterns in this study are determined by a set of competing forces including the distribution within these movies of 'diagnostic' information for each task (Schyns et al., 2002), the spatio-temporal power spectrum of the movies (Dong & Atick, 1995) and perhaps most importantly, the knowledge-driven strategies for acquiring this information (Henderson, 2003).

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## **FIGURE CAPTIONS**

Figure 1. The circles delimit the regions of interest (ROIs), and the asterisks show the points coded for each feature.

Figure 2. This figure shows the spatial distribution of gaze across the task and noise manipulations, showing the total time spent by all of the subjects in all of the trials on a particular screen location. The duration of time is shown by the colored legend. Times are in seconds.

Figure 3. Gaze behaviour as a function of task and noise for the three different region of interest (ROI) analyses. 2A and 2B show the percentage of the trial spent fixated in a ROI. 2C and 2D show the number of fixations in a ROI. 2E and 2F show the median durations of fixations in each ROI. Significant differences are denoted by an asterisk. The error bars indicate the standard errors of the mean.

Figure 4. The average distance in visual degrees from the centre of a feature of interest as a function of task and noise. Significant differences are denoted by an asterisk. The error bars indicate the standard errors of the mean.

Table 1. Mean percentage correct on the emotion and speech tasks in the no-noise and noise conditions. The standard errors of the mean are shown.

	Emotion task	Speech task
No-noise condition	82.8%	99.6%
	(SE 3.3)	(SE 0.2)
Noise condition	81.0%	85.5%
	(SE 2.8)	(SE 1.4)

Table 2. Percentage of trial fixated on a ROI as a function of stimulus emotion across both noise levels. The standard errors of the mean are shown in parentheses.

		<b>Region of Interest</b>		
	Stimulus Emotion	Eyes	Nose	Mouth
Both Tasks	Angry	33.8 (2.8)	26.8 (2.9)	23.6 (3.0)
	Нарру	32.6 (2.5)	26.2 (2.9)	25.6 (3.1)
	Neutral	35.9 (3.1)	27.2 (3.1)	22.2 (3.0)
Emotion Task	Angry	41.7 (4.2)	25.7 (4.3)	18.0 (3.6)
	Нарру	38.4 (3.4)	22.5 (3.9)	20.6 (3.7)
	Neutral	45.4 (4.4)	24.1 (4.3)	15.6 (2.8)
Speech Task	Angry	25.9 (3.0)	27.8 (3.9)	29.1 (4.7)
	Нарру	26.8 (3.5)	29.8 (4.2)	30.7 (4.9)
	Neutral	26.5 (3.8)	30.4 (4.4)	28.7 (5.1)





Horizontal Gaze Position

Emotion Task

Speech Task



## Regions of interest measures (ROIs)



## Average distance from the centre of a feature of interest